



# RURAL BANKERS RESEARCH AND DEVELOPMENT FOUNDATION, INC.

## SCHEDULE

**Date:** Apr.28-29, 2017 (Fri-Sat)  
**Venue:** Gov. Licaros Hall, RBAP, Intramuros,  
**Time:** 8:30am to 5:00pm

### RESOURCE PERSON

**Mr. Don V. Sumadchat, MBA**  
 Bank Executive Officer, Trainer on Strategic Formulation & Execution, Trust Asset Management & Risk Management.

### SEMINAR FEE

1. For Member - P3,800
2. Non-Member/Delinquent - P4,200

### MODE OF PAYMENT

Check payable to:

**Rural Bankers Research & Development Foundation Inc.**

To reserve slot, a Non-Refundable commitment fee of P2,000.00 /pax is required, but its deducted from the total registration fee.

Bank: LBP – Intramuros, Branch  
 Account Name: RBRDFI  
 SA No. 0012-1046-26.  
 Telefax (02) 527-2969 /527-2980

### DEADLINE TO SUBMIT

## OPERATIONAL RISK MANAGEMENT TRAINING

### Course Objectives

- a) Define operational risk and its management
- b) Determine the duties and responsibilities of Board of Directors, management and Business
- c) Line Manager in managing operational risk. Formulate risk appetite statement, risk tolerances and limits
- d) Apply Operational Risk Management tools cognizant to Risk Management Process: identify, assess, measure, control and monitor operational risk

### Expected Participants

President, Risk Management Committee –Director, President, Branch Managers, Risk Management Officer, Chief Compliance Officer, Head- I.T.

### Course Outline

- A. BSP Circular 900 Policy Statement
  - B. Scope and extent of Operational Risk and its management
  - C. Duties and Responsibilities
    1. Board of Directors
    2. Management
    3. Business Line Managers
    4. Operational Risk Management Function
    5. Internal Audit
    6. Compliance Function
    7. Risk Management Unit
  - D. Operational Risk Management Framework
    1. Risk Identification and Assessment
      - 1.a. Building Loss Event Categories and loss database
- \*Internal fraud /External fraud

\*Employment practices and workplace safety,

\*Business disruption and system failures

### 1.b. Introduction to ORM Tools

- Results of internal/external audit and supervisory issues raised in the BSP Report of Examination (ROE)
- Internal Loss Data Collection and Analysis
- Risk Self Assessments (RSA)
- Risk Control Self Assessments (RCSA)
- Business Process Mappings
- Risk and Performance Indicators
- Scenario Analysis
- Model Measurement Comparative Analysis

### 2. Risk Control and Mitigation

### 3. Risk Monitoring and Reporting